



**The Canadian Council for
Public-Private Partnerships**



**Le Conseil Canadien pour
les Partenariats Public-Privé**

2011 National Awards for Innovation and Excellence in Public-Private Partnerships

Application Form

Complete this form and ensure that it is attached to each copy of the application when it is submitted.

PROJECT NAME: _____

COMPANY/DEPARTMENT SPONSORS:

(To qualify as a public-private partnership there must be at least one private sector partner and one public sector partner.) Please see the FAQ sheet for a complete definition of a public-private partnership.

- _____
- _____

CATEGORY SUBMITTING UNDER (CHECK ONE): *(The Canadian Council for Public-Private Partnerships reserves the right to change the designation should circumstances warrant.)*

- Project Financing**
- Service Delivery**
- Infrastructure**
- Other**

PRIMARY CONTACT:

Name: _____

Organization: _____

Address: _____

Telephone: _____ **Fax:** _____

E-Mail: _____

SECONDARY CONTACT:

Name: _____

Organization: _____

Address: _____

Telephone: _____ **Fax:** _____

E-Mail: _____



**The Canadian Council for
Public-Private Partnerships**



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The Canadian Council for Public-Private Partnerships Announces the 14th Annual National Awards

For Innovation and Excellence in Public-Private Partnerships

The 2010 Canadian Council for Public-Private Partnerships National Awards for Innovation and Excellence were a resounding success! The following are the 2010 winning projects:

- Winner:** **Gold Award, Project Financing, New Glen Campus, McGill University Health Centre**
Partners: McGill University Health Centre and Group Immobilier Santé McGill, SNC-Lavalin Inc. with participation from Infrastructure Québec
- Winner:** **Gold Award, Infrastructure, Alberta Schools Alternative Procurement (ASAP1) Project**
Partners: Alberta Ministry of Infrastructure and BBPP Alberta Schools
- Winner:** **Silver Award, Project Financing, Women's College Hospital Redevelopment Project (Toronto, Ontario)**
Partners: Women's College Hospital and Bilfinger Berger Project Investments Inc.
- Winner:** **Silver Award, Infrastructure, Durham Region Courthouse, (Ontario)**
Partners: Infrastructure Ontario and Access Justice Durham
- Winner:** **Award of Merit, Innovation in Project Partnership, RCMP E Division Headquarters Relocation Project (Surrey, BC)**
Partners: Public Works and Government Services Canada, Bouygues Building Canada and HSBC
- Winner:** **Award of Merit, Project Financing, South Fraser Perimeter Road (BC)**
Partners: BC Ministry of Transportation and ACS Infrastructure Canada Inc.

Our public-private partnerships are a Canadian success story in such diverse areas as infrastructure, information technology, education, health care and transportation. To continue recognition of these success stories, the 2011 National Awards will once again recognize the individuals, the institutions and the companies behind successful partnerships. Awards will be presented at the 2011 National Conference of The Canadian Council for Public-Private Partnerships in Toronto.

Deadline for nominations is **12:00 p.m. Noon, (EDT), Thursday, September 15, 2011**

PURPOSE

The Canadian Council Awards For Innovation and Excellence in Public-Private Partnerships were established in 1998 to honour governments and/or public institutions and their private sector partners who have demonstrated excellence and innovation in the establishment of public-private partnerships. The awards are presented annually to showcase Canadian excellence and innovation in project financing service delivery, infrastructure investment and/or generation of economic benefit, which result in enhanced quality of public services and facilities.

Annual National Awards

Three awards are presented annually to public institutions/governments and their private sector partners for outstanding achievement in Canadian public-private partnerships (PPP). Awards may be announced in each of the following key areas:

Project Financing Service Delivery Infrastructure Other

Winners receive an engraved glass sculpture and have the right to the designation of National Award winner on publications, stationary and future proposal submissions. Winning partnerships may be published as case studies, and will also be advertised through press releases, and media coverage.

Awards will be announced and presented at the annual National Conference on November 21-22, 2011 hosted by The Canadian Council for Public-Private Partnerships and its co-sponsors at the Royal York Toronto.

Preconditions to be considered for a CCPPP National Award:

A project considered for an award by CCPPP must meet three basic requirements:

1. The project must be one that is typically overseen by the public sector and one that the public sector would have done traditionally, had it not used a PPP solution. Projects that do not fit into a government's normal activities are not considered public-private partnerships; and
2. The project must demonstrate the transfer of risk; and
3. The project must demonstrate innovation and/or excellence in its sector.

All projects must meet these three criteria to be eligible for a CCPPP National Award for Innovation and Excellence. *(Please review any of the previously published Awards Case Studies publications for examples of past Award winning projects.)*

Definition Of Public-Private Partnerships (PPP) and Award Categories

A Public-Private Partnership is defined as a co-operative venture between the public and private sectors, built on the expertise of each partner, which develops or improves facilities and/or services needed by the public through the appropriate allocation of resources, risks, rewards and responsibilities. Eligible PPP include those, which have enhanced the quality, efficiency or effectiveness of public capital projects or operating programs and services including infrastructure (e.g., roads, hospitals, arenas, schools, information technology, etc.) and services benefiting the public.

At the time of application submission, projects must have achieved certain benchmarks within the particular award category as described below:

Project Financing Award: Projects applying under the Project Financing category must have achieved Financial Close.

Service Delivery Award: Projects must have been fully operational for at least one year as of the date of entry submission to apply under the Service Delivery category. Exceptions may be considered for projects with a seasonal use or typical start up period such as a fall opening of a school project.

Infrastructure Award: Projects applying under the Infrastructure category must have achieved Substantial Completion.

Other: Projects, which meet all pre-considerations for submission but do not readily, fit into the Project Financing, Service Delivery and Infrastructure categories.

Eligibility

Either partner may initiate the application, or a third party may nominate the partnership for an award. A representative of each of the public and private partners must sign the application form.

Applications and nominations must be received from governments (defined as municipal, provincial or federal), public institutions (e.g., hospitals, schools, post-secondary institutions) or non profit organizations together with their private sector partners for projects or service delivery initiatives which have been developed and/or completed in Canada.

2011 Awards Selection Criteria

Applications will be assessed on the following criteria. It is expected that applications will demonstrate several **but not necessarily all** of the following qualities:

- Innovative features
- Relevance or significance as a model nationally and/or abroad
- Economic benefit (job creation, enhanced economic value, export potential, etc.)
- Measurable enhancement of quality and excellence in service or project
- Appropriate allocation of risks, responsibilities and returns between partners
- Effective use of financing and/or use of non-traditional sources of revenue

2011 Preconditions To Consideration Of Projects For Selection

The P3 private sector proponent must have been selected through a competitive process. If this did not occur, justification must be provided to indicate why an alternative selection process was used.

The project must meet the test of being a true public-private partnership where there is clearly a public partner(s) and a true private sector partner (s) assuming various levels of risk. **Public-public partnerships will not be considered.**

If applicable, cost savings over traditional delivery should be demonstrated. If a value for service comparison has not been conducted, there should be an explanation of how the public sector made the determination that the PPP alternative was preferable to a traditional delivery method.

2011 Awards Selection Process

A fully completed application form and any descriptive diagrams or charts must accompany the submission. Signed applications must be submitted in electronic or hard copy no later than **12:00 p.m. Noon (EDT), Thursday, September 15, 2011.** If you are only submitting **hard copies**, please also provide **an electronic copy in Word Format**. If you are only submitting **electronic copies** please provide one electronic copy in **Word Format** and the second as an **Adobe Acrobat PDF**. Five to six representative photos (jpg format) must also be submitted to the Council's email address: partners@pppcouncil.ca.

The Canadian Council for Public-Private Partnerships will appoint an expert selection panel of knowledgeable and objective Canadian leaders to review the applications against the criteria and recommend the winners. Awards will be presented at the National Annual Conference of The Canadian Council for Public-Private Partnerships taking place November 21-22, 2011.

Only Award recipients will be notified.



**The Canadian Council for
Public-Private Partnerships**



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The Canadian Council for Public-Private Partnerships

Application For The

2011 National Awards For Innovation & Excellence in Public-Private Partnerships

How To Enter

1. Complete the Application Form. Both a public and private sector representative of the partnership must sign off. A separate application form must be completed for each project initiative submitted for entry. Applications will be judged on the quality, not the quantity of the submission, as well as the match with the criteria noted.

Indicate on the Application Form under which one of the key areas, the project is being submitted for entry. While the Canadian Council for Public-Private Partnerships only awards **Gold** and **Silver** in the main categories indicated, it does from time to time, present an **Award of Merit** to projects deemed to be exemplary in certain areas, but which may not merit a Gold or Silver in the selected category. The Council also reserves the right to change the designation of a project category if circumstances warrant this.

There is no entry fee.

2. Please provide two copies of your Application Form in soft copy. One electronic version must be in **Word Format** with the second in **Adobe Acrobat PDF**.

The Application will also be accepted **on CD or DVD**, however, one version of the application form **must be in Word format**.

If you are submitting hard copies, you must also provide **one soft copy in Word Format**.

**The Canadian Council for Public-Private Partnerships
55 University Avenue, Suite 608
Toronto, ON M5J 2H7**

Re: 2011 National Awards

E-Mail: partners@pppcouncil.ca

3. Please submit **5 or 6 digital photographs** that visually represent your project in jpg format (minimum of 150 dpi).
4. Deadline is **12:00 p.m. Noon, (EDT), Thursday, September 15, 2011.**

FAQ's

FREQUENTLY ASKED QUESTIONS

1. What constitutes a public-private partnership?

A Public-Private Partnership is defined as a co-operative venture between the public and private sectors, built on the expertise of each partner, which develops or improves facilities and/or services needed by the public through the appropriate allocation of resources, risks, rewards and responsibilities. Eligible PPP include those, which have enhanced the quality, efficiency or effectiveness of public capital projects or operating programs and services including infrastructure (e.g., roads, arenas, schools, information technology, etc.) and services benefiting the public.

The PPP private sector proponent must have been selected through a competitive process. If this did not occur, justification must be provided to indicate why an alternative selection process was used.

The project must meet the test of being a true public-private partnership where there is clearly a public partner(s) and a true private sector partner (s) assuming various levels of risk. **Public-public partnerships will not be considered.**

If applicable, cost savings over traditional delivery should be demonstrated. If a value for service comparison has not been conducted, there should be an explanation of how the public sector made the determination that the PPP alternative was preferable to a traditional delivery method.

2. What is a public-sector partner?

A public-sector partner will be the partner from the public sector, for example a level of government, federal, provincial or municipal, a public institution, such as a school, hospital or post-secondary institution or a non-profit or not for profit corporation.

3. What is a private-sector partner?

A private-sector partner will be a company, which operates solely in the private sector, be it a limited or incorporated entity.

4. Does a contracting out arrangement between a public-sector entity and a private sector entity constitute a public-private partnership?

No, this is not a true public-private partnership as there is no sharing, by both partners, of resources, risks and rewards.

5. If a partner provides a grant or a financial commitment is made, but there is no other active involvement by one or more partners, can this be considered a public-private partnership?

A grant from a level of government or a straight financial commitment without involvement from the private or public sector partner or partners cannot be considered a public-private partnership. There must be a mutual decision making process which pertains directly to public-private partnership at all stages.

6. How many public and private partners constitute a public-private partnership?

There is no limit to the number of public and private partners that can be involved in the partnership. However, there must be at least **one public** and **one private** partner, each sharing in the appropriate allocation of resources, risks and rewards in the nominated project.

7. Who can I call to clarify whether our public-private partnership meets the criteria or if we have any other questions regarding our application?

Please contact Carla Walmsley, the 2011 Awards Coordinator, at 416-861-0500 or partners@pppcouncil.ca if you have any questions or concerns regarding your 2011 Awards submission.

EXECUTIVE SUMMARY

Project name	
Partners	
Purpose of the project	
Description/rationale for the project	
Total project cost	
Financing arrangements, payment structure etc., (supply any charts, or diagrams to support your application)	
Other project characteristics (may include financial, service delivery and infrastructure information)	
Key risk allocations	
Duration of the agreement	
Other relevant terms of the agreement	
Cost savings	
Community benefits/labour impacts, communications issues.	
Other unusual features	

DETAILED PROJECT INFORMATION

1. Overview of the Project

- a) background
- b) rationale
- c) government objectives
- d) community benefits

2. Description of the Project

3. Structure of the Partnership

- i) overall structure, including where possible a diagram showing the relationship between the different entities;
- ii) allocation of risks, responsibilities and returns between the partners.

4. Submission Category Detailed Requirements

(Depending on the submission category, provide the following detailed information)		
Project Financing	Infrastructure	Service Delivery
Financing Capital Costs/Equity Component/types of debt	Unique project features or benefits	Outcomes on enhancement of service such as surveys on user satisfaction
Payment structures	Development/construction Schedule and features	Improvements in service delivery or potential benefits to users
Revenue sharing	Partnership advantages or strengths brought to project	Unique or new service delivery capabilities or innovations
Other (deal flexibility, VfM)	Other (innovation)	Other (innovation)
Contractual agreement if available or in summary	Innovations implemented to overcome engineering or construction challenges	Partnership challenges or improvements once in operational mode
Benefits of the project overall	Partnership differentiators	Benefits to community
Procurement process <ul style="list-style-type: none"> • why choose a P3 over traditional • detail competitive process used • timelines for procurement • process fairness 	Labour transition <ul style="list-style-type: none"> • labour agreements affected • transition plan • union negotiation process 	Labour Transition <ul style="list-style-type: none"> • labour agreements affected • transition plan • union negotiation process

5. Risk allocation

- i) risk allocation between the partners;
- ii) performance management.

6. Communications

- i. between the partners;
- ii with the public prior or during the project;
- iii. dispute resolution mechanism.

7. **Labour Transition Impact (if applicable)**
8. **Other Issues**
 - i. innovative or relevant features of the project;
 - ii. applicability outside of Canada;
 - iii. lessons learned.
9. **Concluding Comments.**

TESTIMONIALS

Public Sector: Please provide a testimonial from someone who can speak with authority as to the value of the PPP.

Private Sector: Comment from the private sector representative.

LIST OF PARTNERS INVOLVED

List all names, titles, and organizations involved in the public-private partnership. These will be contacts other than the primary public and private sector proponents. For the public sector, this may include, process advisors, financial advisors, outside legal counsel, process auditor, etc.. For the private sector, please list the team, which made up the consortia. (Use additional pages if necessary.)

Please Print

Name: _____

Organization: _____

Address: _____

Telephone: _____ **Fax:** _____

E-Mail: _____

Name: _____

Organization: _____

Address: _____

Telephone: _____ **Fax:** _____

E-Mail: _____

Name: _____

Organization: _____

Address: _____

Telephone: _____ **Fax:** _____

E-Mail: _____

Legal Advisors: _____
For Public Partners

Contact: _____

Phone: _____

Legal Advisors: _____
For Private Partners

Contact: _____

Phone: _____

SIGN OFF PAGE

NOTE:

Your signature on this application form will signify your participation in the 14th Annual National Awards for Innovation and Excellence in Public-Private Partnerships. Should your entry become a winning project it may be selected to be included in the annual Awards Case Studies publication. You agree, by your signature, to participate in the production of the Awards Case Studies and to designate someone from your organization to speak with authority on all aspects of the project. This will involve all or some of the following activities related to the documentation of the **entire project as a case study**:

- **One on one interviews with a Researcher;**
- **Telephone interviews with a Researcher;**
- **The provision of additional information either verbally or in writing to a Researcher; or**
- **Any additional information (either verbally or in writing), which may be required to assist in the preparation of the entire project as a Case Study to be prepared by a Researcher.**
- **The research takes place over the period of December to April and respondents must be prepared to respond in a timely manner to requests for information and approval of drafts.**

The Canadian Council for Public-Private Partnerships will assign the Researcher.

Signature: Public Sector Proponent

Signature: Private Sector Proponent

Name (Print): _____

Title: _____

Organization: _____

Address: _____

Phone: _____

Fax: _____

E-Mail: _____

Signature: Provincial PPP Agency (if applicable)

Name (Print): _____

Title: _____

Organization: _____

Address: _____

Phone: _____

Fax: _____

E-Mail: _____

**Deadline for Submission of Nominations and Applications is
12:00 p.m., Noon (EDT), Thursday, September 15, 2011.**

**The Canadian Council for Public-Private Partnerships
55 University Avenue, Suite 608, Toronto, ON, M5J 2H7
Telephone: (416) 861-0500 E-Mail: partners@pppcouncil.ca**



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